

08-22-01

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2764

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 155.00

## Complete if Known

Application Number

09/370,935

Filing Date

9/9/99

First Named Inventor

H. Serchi

Examiner Name

Y. Reha

Group Art Unit

2764

Attorney Docket No.

990809

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## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

Deposit  
Account  
Name

☐ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money  
Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee Fee Description  
Code (\$) Code (\$)

| Code | Large Entity Fee (\$) | Small Entity Fee (\$) | Description               |
|------|-----------------------|-----------------------|---------------------------|
| 101  | 710                   | 201                   | 355 Utility filing fee    |
| 106  | 320                   | 206                   | 160 Design filing fee     |
| 107  | 490                   | 207                   | 245 Plant filing fee      |
| 108  | 710                   | 208                   | 355 Reissue filing fee    |
| 114  | 150                   | 214                   | 75 Provisional filing fee |

Fee Paid

SUBTOTAL (1) (\$)

### 2. EXTRA CLAIM FEES

Total Claims  -20\*\* =  X  =   
Independent Claims  - 3\*\* =  X  =   
Multiple Dependent  =

Large Entity Small Entity

Fee Fee Fee Fee Fee Description  
Code (\$) Code (\$)

| Code | Large Entity Fee (\$) | Small Entity Fee (\$) | Description  |
|------|-----------------------|-----------------------|--|
| 103  | 18                    | 203                   | 9 Claims in excess of 20                                     |
| 102  | 80                    | 202                   | 40 Independent claims in excess of 3                         |
| 104  | 270                   | 204                   | 135 Multiple dependent claim, if not paid                    |
| 109  | 80                    | 209                   | 40 ** Reissue independent claims over original patent        |
| 110  | 18                    | 210                   | 9 ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

Large Entity Small Entity

Fee Fee Fee Fee Fee Description  
Code (\$) Code (\$)

| Code | Large Entity Fee (\$) | Small Entity Fee (\$) | Description   |
|------|-----------------------|-----------------------|---|
| 105  | 130                   | 205                   | 65 Surcharge - late filing fee or oath  |
| 127  | 50                    | 227                   | 25 Surcharge - late provisional filing fee or cover sheet                     |
| 139  | 130                   | 139                   | 130 Non-English specification   |
| 147  | 2,520                 | 147                   | 2,520 For filing a request for ex parte reexamination                         |
| 112  | 920*                  | 112                   | 920* Requesting publication of SIR prior to Examiner action                   |
| 113  | 1,840*                | 113                   | 1,840* Requesting publication of SIR after Examiner action                    |
| 115  | 110                   | 215                   | 55 Extension for reply within first month                                     |
| 116  | 390                   | 216                   | 195 Extension for reply within second month                                   |
| 117  | 890                   | 217                   | 445 Extension for reply within third month                                    |
| 118  | 1,390                 | 218                   | 695 Extension for reply within fourth month                                   |
| 128  | 1,890                 | 228                   | 945 Extension for reply within fifth month                                    |
| 119  | 310                   | 219                   | 155 Notice of Appeal  |
| 120  | 310                   | 220                   | 155 Filing a brief in support of an appeal                                    |
| 121  | 270                   | 221                   | 135 Request for oral hearing  |
| 138  | 1,510                 | 138                   | 1,510 Petition to institute a public use proceeding                           |
| 140  | 110                   | 240                   | 55 Petition to revive - unavoidable   |
| 141  | 1,240                 | 241                   | 620 Petition to revive - unintentional  |
| 142  | 1,240                 | 242                   | 620 Utility issue fee (or reissue)  |
| 143  | 440                   | 243                   | 220 Design issue fee  |
| 144  | 600                   | 244                   | 300 Plant issue fee   |
| 122  | 130                   | 122                   | 130 Petitions to the Commissioner   |
| 123  | 50                    | 123                   | 50 Processing fee under 37 CFR 1.17(q)  |
| 126  | 180                   | 126                   | 180 Submission of Information Disclosure Stmt                                 |
| 581  | 40                    | 581                   | 40 Recording each patent assignment per property (times number of properties) |
| 146  | 710                   | 246                   | 355 Filing a submission after final rejection (37 CFR § 1.129(a))             |
| 149  | 710                   | 249                   | 355 For each additional invention to be examined (37 CFR § 1.129(b))          |
| 179  | 710                   | 279                   | 355 Request for Continued Examination (RCE)                                   |
| 169  | 900                   | 169                   | 900 Request for expedited examination of a design application                 |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 155

## SUBMITTED BY

Name (Print/Type)

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(Attorney/Agent)

29,211

## Complete (if applicable)

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Date

8/21/01

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
In re the Application of:

H. SERETTI et al.                      Group Art Unit: 2764  
Application No: 09/370,935      Examiner: Y. Retta  
Filed: August 9, 1999              Atty Docket No.: 990809

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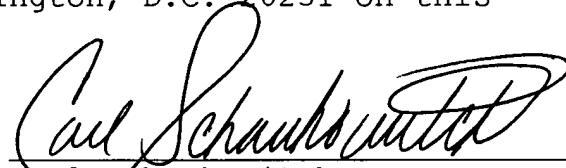
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CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR 1.10

I hereby certify that the following document(s) listed below is/are being deposited with the United States Postal Service as Express Mail numbered EK587227211US and enclosed in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on this

date: August 21, 2001

  
Carl Schaukowitch

Enclosed document(s):

Three (3) Briefs on Appeal for application no. 09/370,935  
with Appendices A - C  
One (1) Fee Transmittal  
Check #615 in the amount of \$155.00  
Postcard Receipt